

Receiver

**Performance – What's Possible?  
+  
Performance – What's Needed?**

Rob Sherwood  
NCØB

How to optimize what you currently own

- **What is important in a DX pile-up environment?**
- We need Good Dynamic Range to hear **weak** signals in the presence of **near-by strong** signals.
- CW signals “Up 2” or SSB signals “Up 5”
- **You need a better receiver for CW than for SSB.**
- For the DXpedition, it’s like CQWW crammed within a few kHz !
- **How does published test data relate to reception of weak signals?**

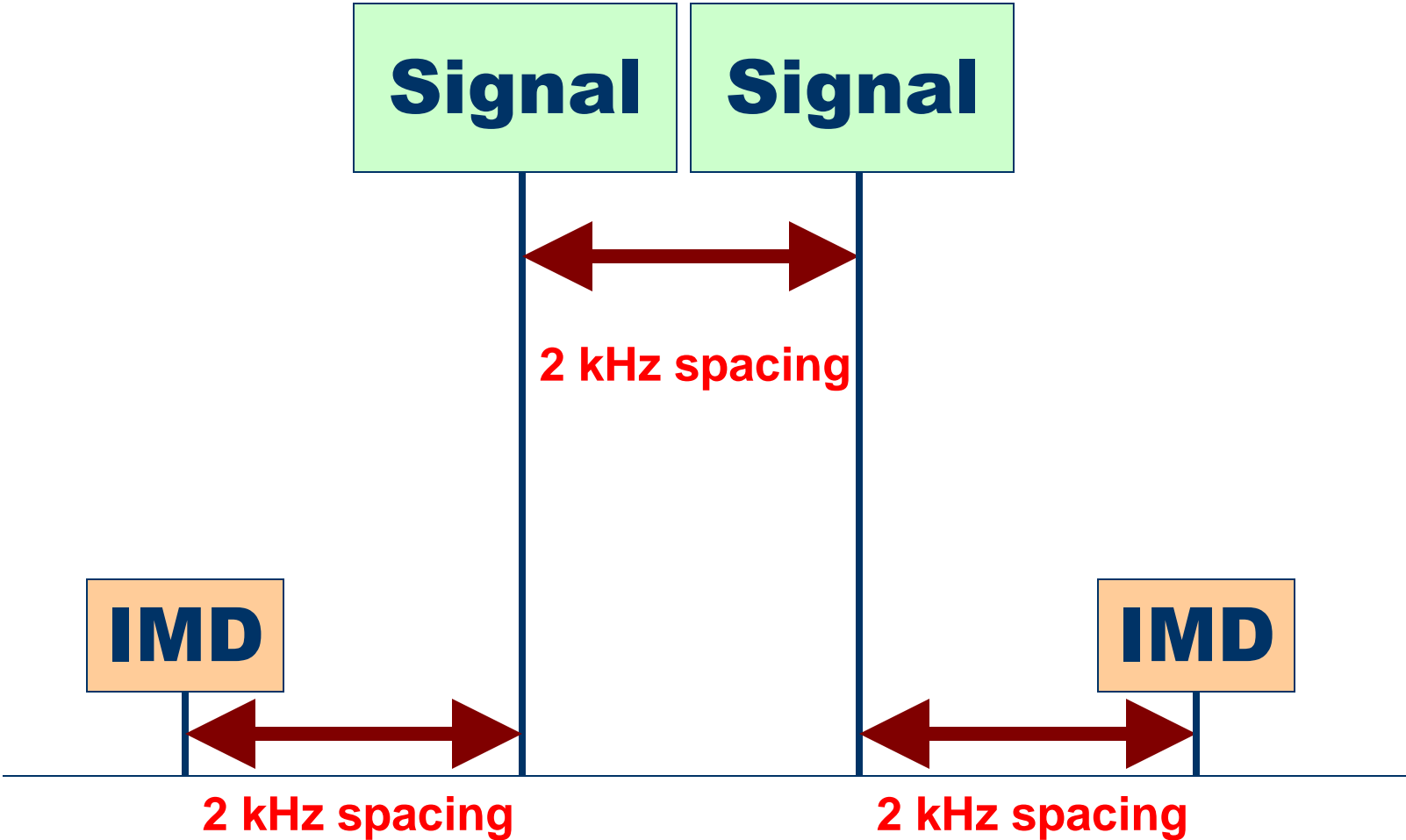
## State-of-the-Art in Dynamic Range today

- Close-in dynamic range (DR3) > 105 dB
- Phase noise @ 10 kHz  $\leq -145$  dBc / Hz
- Reciprocal Mixing (RMDR) > 115 dB
- This can be an affordable K3S

# What does dynamic range mean?

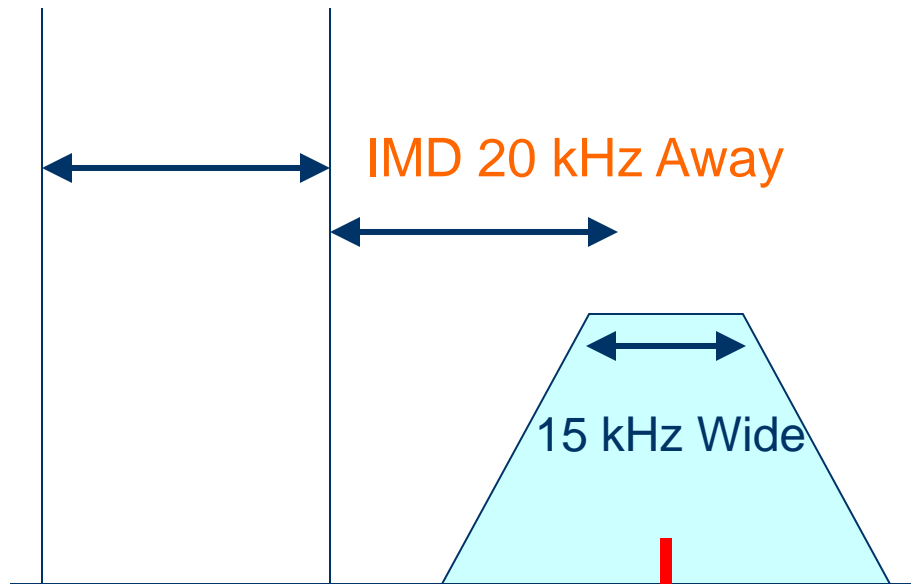
- Two equal signals are fed into the receiver.
- Third-order IMD is dominant.
- Level increased until distortion = noise floor
- This level vs. the noise floor = dynamic range
- Defined in QST 1975
- Example: level = -35 dBm, NF = -135 dBm
- Dynamic Range (DR3) = 100 dB

# Third Order IMD to Measure Dynamic Range



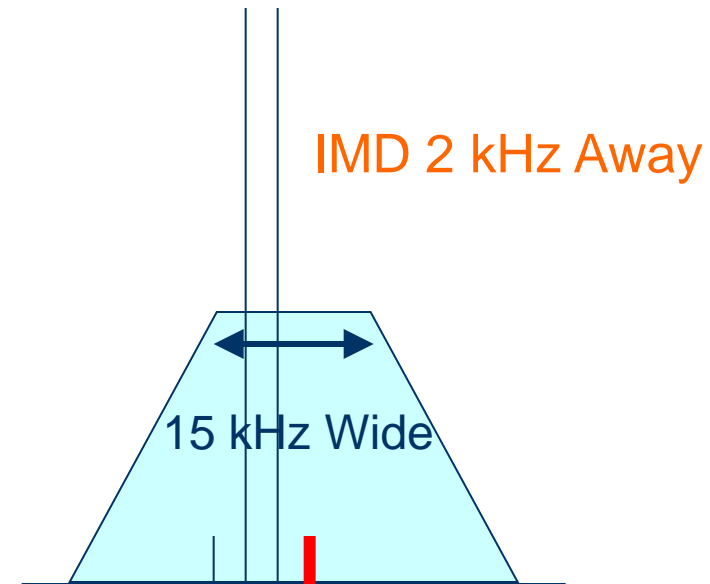
# Wide & Close Dynamic Range

## 20 kHz Spacing



First IF Filter at 70.455 MHz

## 2 kHz Spacing

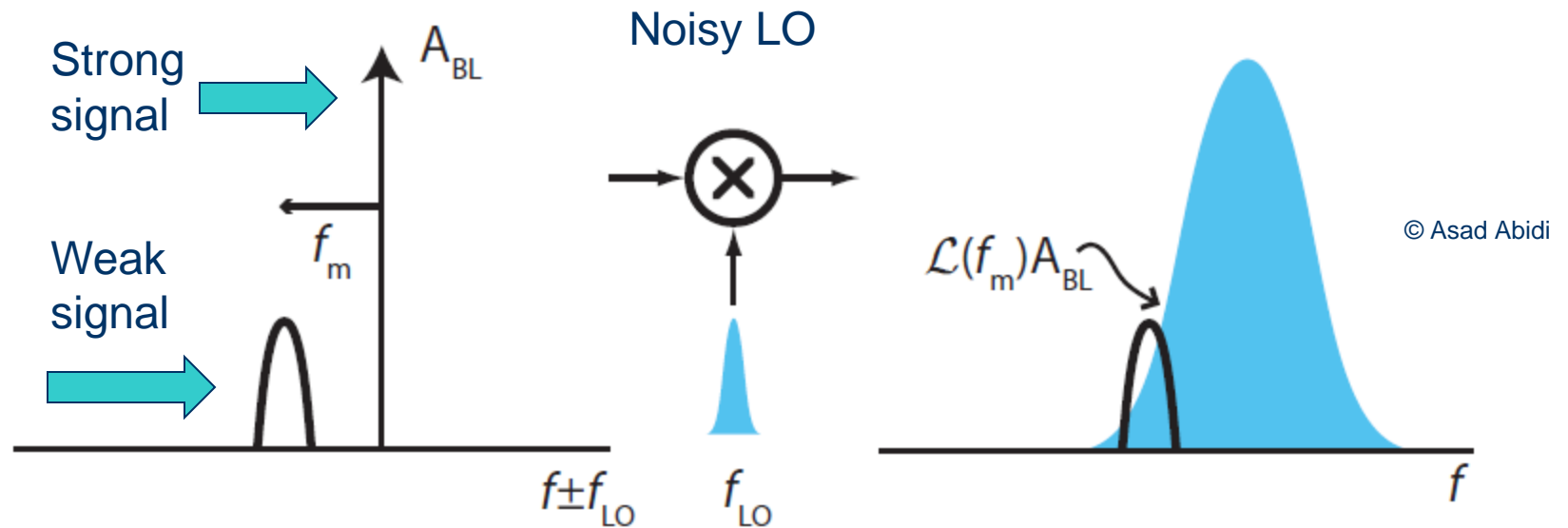


First IF Filter at 70.455 MHz

# A note on phase noise / RMDR

- Reciprocal Mixing Dynamic Range (RMDR)
- Only since late in 2013 has the ARRL consistently emphasized the importance of good phase noise performance (RMDR).
- Read Bob Allison's sidebar in IC-9100 review (April 2012 QST) for details.
- Peter Hart (G3SJX) for RSGB has long published RMDR data.

# Reciprocal mixing puts LO noise on top of weak signal



Noisy local oscillator (LO) transfers its noise to the strong out-of-passband signal and on top of the weak signal we are trying to copy.



# RMDR often dominates over DR3

- Only a few “legacy” transceivers, plus direct-sampling SDR radios have RMDR > DR3.
- Elecraft K3 w/ new synthesizer, K3S or KX3
- Hilberling PT-8000A
- Icom IC-7850, IC-7851
- Flex 6700 & 6500
- Apache ANAN-200D

# What do these numbers mean?

- Typical receiver, preamp OFF
- Noise floor = -128 dBm
- “Holy grail” 100 dB DR3 radio (@ 2kHz)
- Can handle signals -28 dBm = S9 +45 dB
- Note: That is **above** the receiver’s **noise floor**
- How does that relate to band noise?
- Will get to that in a moment.

# Luckily we can live with 85 dB radios

- What performance is usually good enough?
- From the advent of “up-conversion” radios around 1979 (TR-7) until 2003 with the Orion I, all we had were 70 dB DR3 radios at 2 kHz.
- These were barely adequate on SSB and not acceptable on CW in DX pile-ups or contests.
- If we operate our 85 to 90 dB radios properly, they perform well in **most** environments.
- Most of the time our radios are not stressed to their limits.

## Dynamic Range of Top 12 Transceivers

- Elecraft K3S 106 dB
- Flex 6700 99 / 108 dB (preamp Off/On)
- Hilberling 105 dB
- Elecraft KX3 104 dB
- FTdx-5000D 101 dB
- Flex 5000 96 dB
- Elecraft K3 95 dB (original synthesizer)
- Orion II 95 dB
- Orion I 93 dB
- TS-590SG 92 dB
- TT Eagle 90 dB
- Flex 3000 90 dB

# Why is higher DR3 needed on CW?

- Transmitted bandwidth of an adjacent strong signal may be the limit, not receiver overload.
- A CW signal is about 1 kHz wide at -60 dB.
- An SSB signal is about 10 kHz wide at -60 dB.
- A CW pile-up may overload your receiver.
- On SSB, splatter will likely dominate before the receiver dynamic range is exceeded.

# What is the Bandwidth of a CW Signal?

On-channel signal = S9 + 40 dB (-33 dBm)

Receiver = K3, 400 Hz 8-pole roofing + 400 Hz DSP Filter

Transmitter = Omni-VII with adjustable rise time

Undesired signal 700 Hz away, continuous “dits” at 30 wpm

Rise time of Omni-VII      Strength of CW sidebands

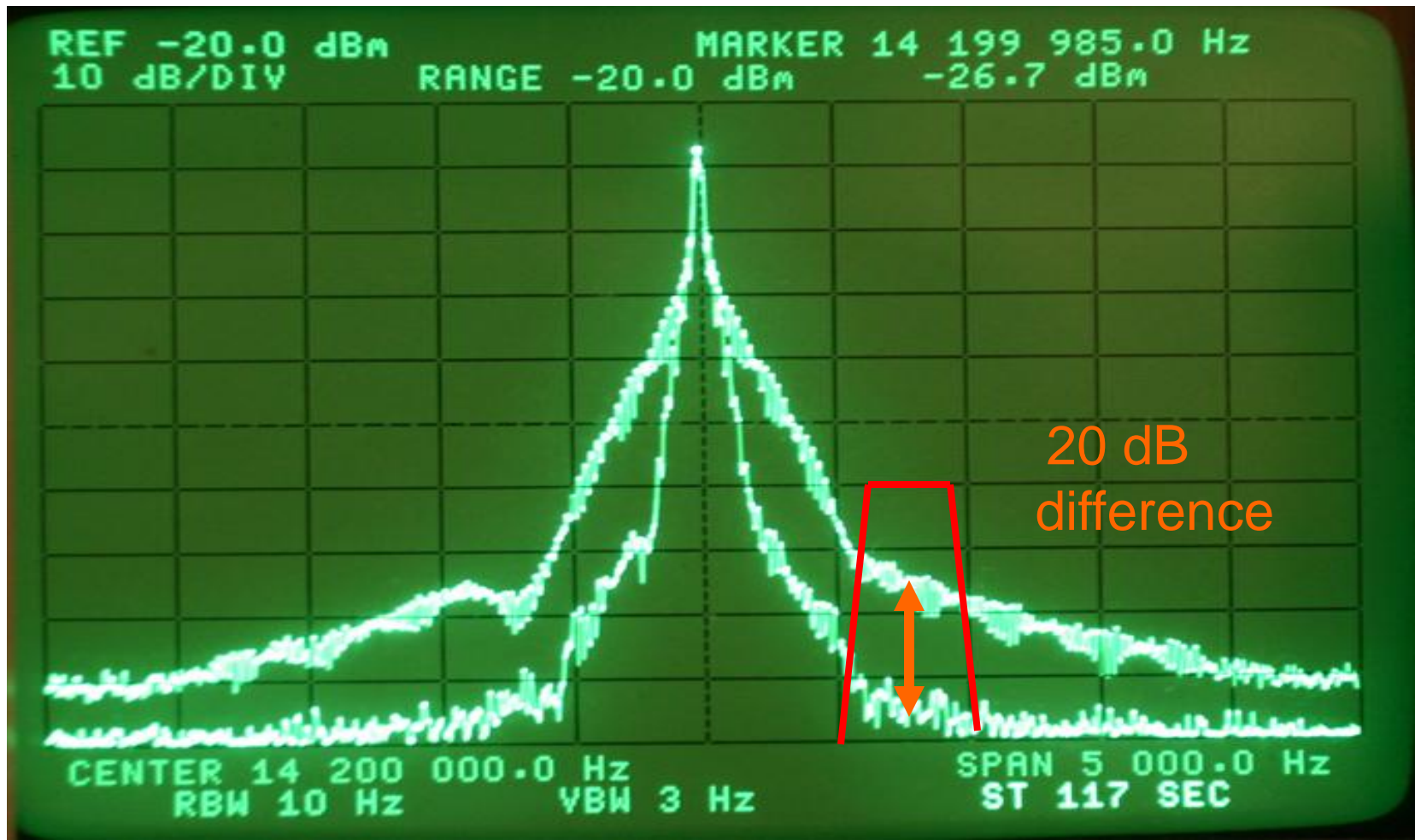
Signal	Strength of CW sidebands	
3 msec	S9 + 40	-33 dBm
4 msec	S7	-83 dBm
5 msec	S6	-88 dBm
6 msec	S6	-88 dBm
7 msec	S5	-93 dBm
8 msec	S4	-99 dBm
9 msec	S4	-99 dBm
10 msec	S3	-105 dBm

Ref  
-50 dB  
22 dB !  
-72 dB

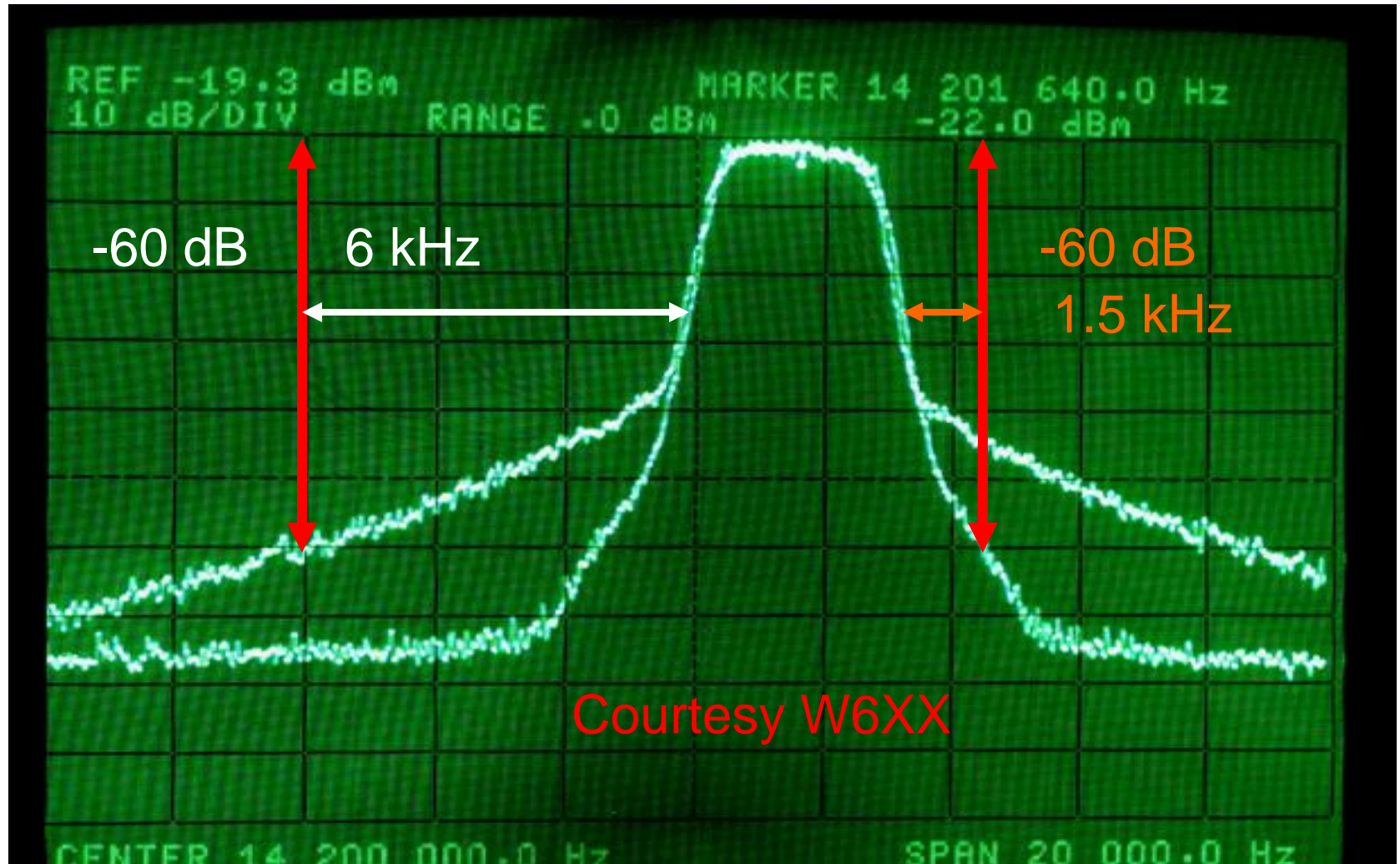
Many rigs are much faster than 3 msec

## Spectrum of CW Signal on HP 3585A Analyzer

Comparison of 3 msec vs 10 msec rise time



# White Noise Mk V Class A vs. K3 Class B @ 75 Watts





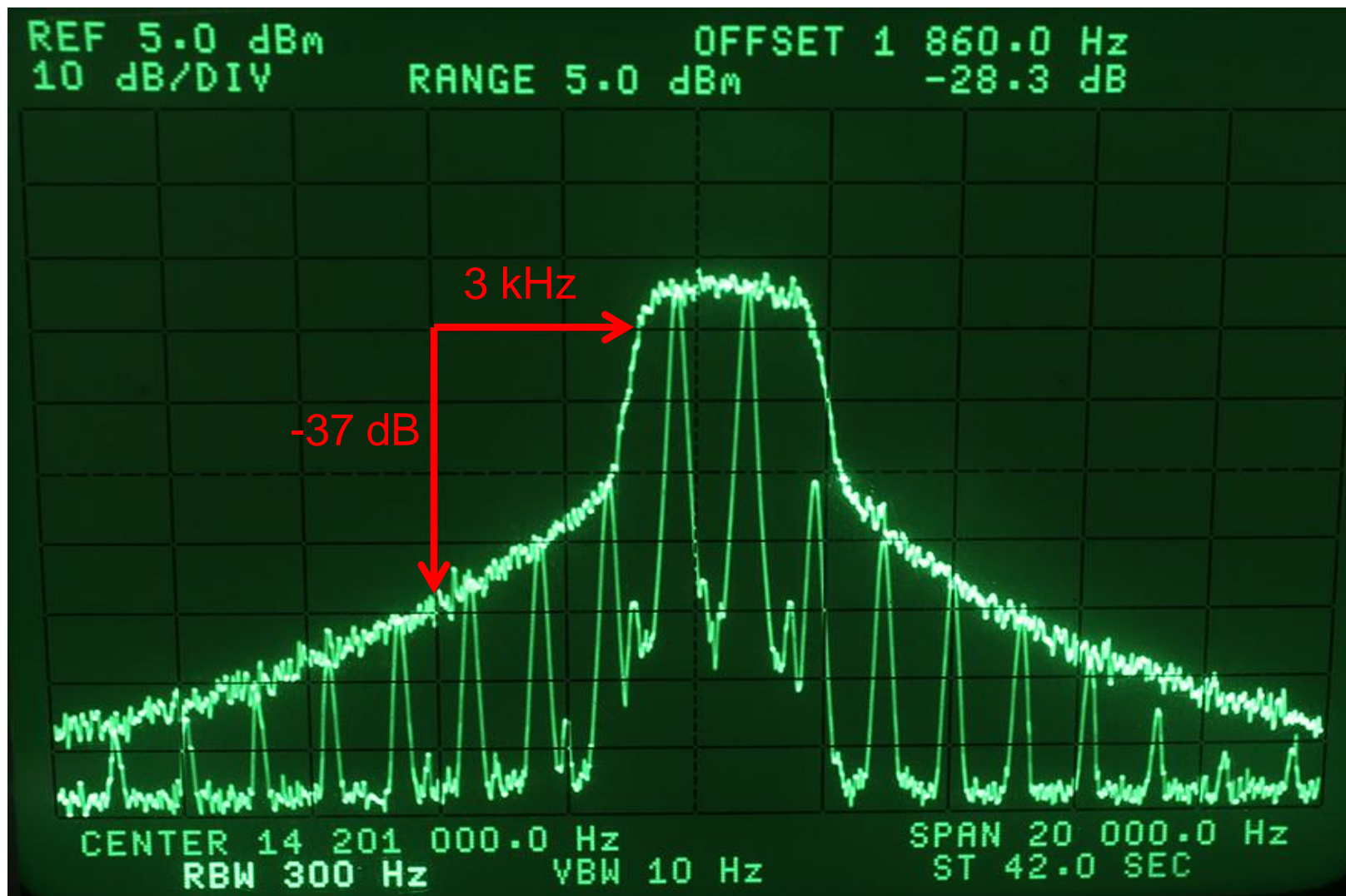
Noise source = GR 1381, 5-kHz -3 dB BW

# Icom IC-7410 Class AB, White Noise



# How Wide Is Your Signal ?

## Comparison 2-Tone vs. Noise Intermodulation Bandwidth



# How do we optimize what we have?

- While we might own a 100+ dB DR3 radio, many of us have somewhat less performance.
- My TS-990S is around a 90 dB radio @ 2 kHz.
- Consider dynamic range a “window” of performance that can be moved around in absolute level by properly using your attenuator or preamp.

# Receiver Noise Floor vs. Band Noise

When is the spec for noise floor significant?

Why does it rarely matter on most bands?

**Noise Floor** is usually significantly **lower than Band Noise**.

An ITU graph published in the ARRL Handbook gives us a starting point to relate **band noise** to **noise floor**.

This ITU data is in a 500-Hz bandwidth, just like typical noise floor data.

# Band Noise vs. Frequency from ARRL Handbook

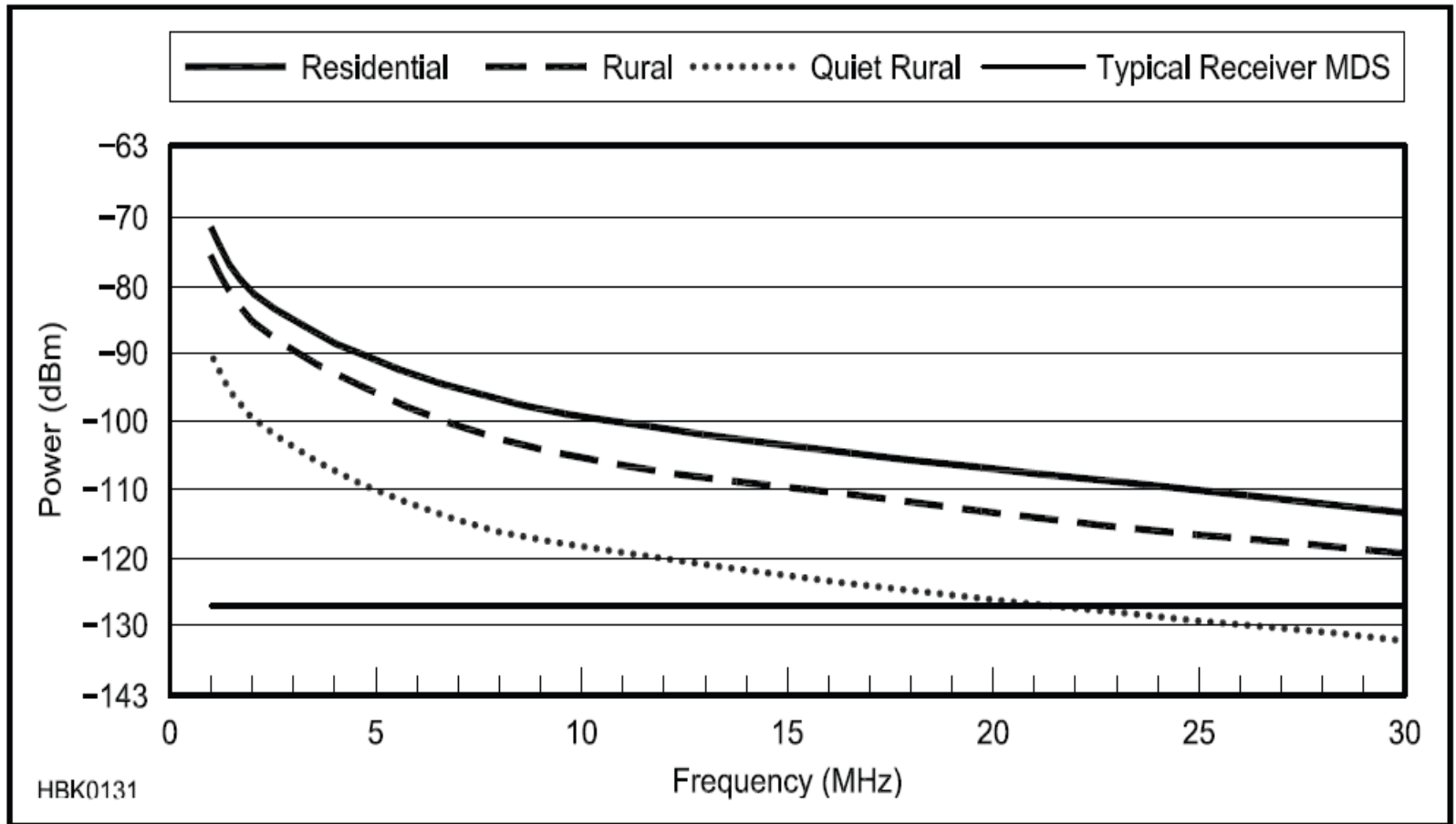


Fig 1 — Typical noise levels versus frequency for various environments. (Man-made noise in a 500-Hz bandwidth, from Rec. ITU-R P.372.7, *Radio Noise*)

# Most Radios are designed for 10 meters

Typical rural band noise on 10 meters is -120 dBm

Typical rural band noise on 20 meters is -110 dBm

On 20 meters, band noise is almost 20 dB higher than typical receiver noise with the preamp OFF !

Optimally **receiver noise** should be **8 to 10 dB lower** than **band noise** to have minimal effect on receiving weak signals.

Even on **10 & 15 meters**, a preamp isn't needed all the time in a rural environment.

# A simple test with only an analog meter

- Most hams don't own a calibrated signal generator.
- How do you evaluate your receiver?
- This also evaluates your antenna !
- Measure the noise gain when you connect your antenna.
- All you need is an analog meter with a dB scale, hooked up to your speaker.

# Measure the noise gain

- Disconnect your antenna and set the volume so your dB meter reads -10 dB.
- (Put a dummy load on the rig, though open circuit usually works OK, too.)
- Connect the antenna and see how many dB the noise goes up when tuned to a dead spot on the band.
- Do this with Preamp OFF and ON.
- Also rotate your Yagi 360 degrees.
- Noise can easily change 10 dB with azimuth!



# 15 & 10 meters noise gain

Rig = Icom IC-756 Pro III

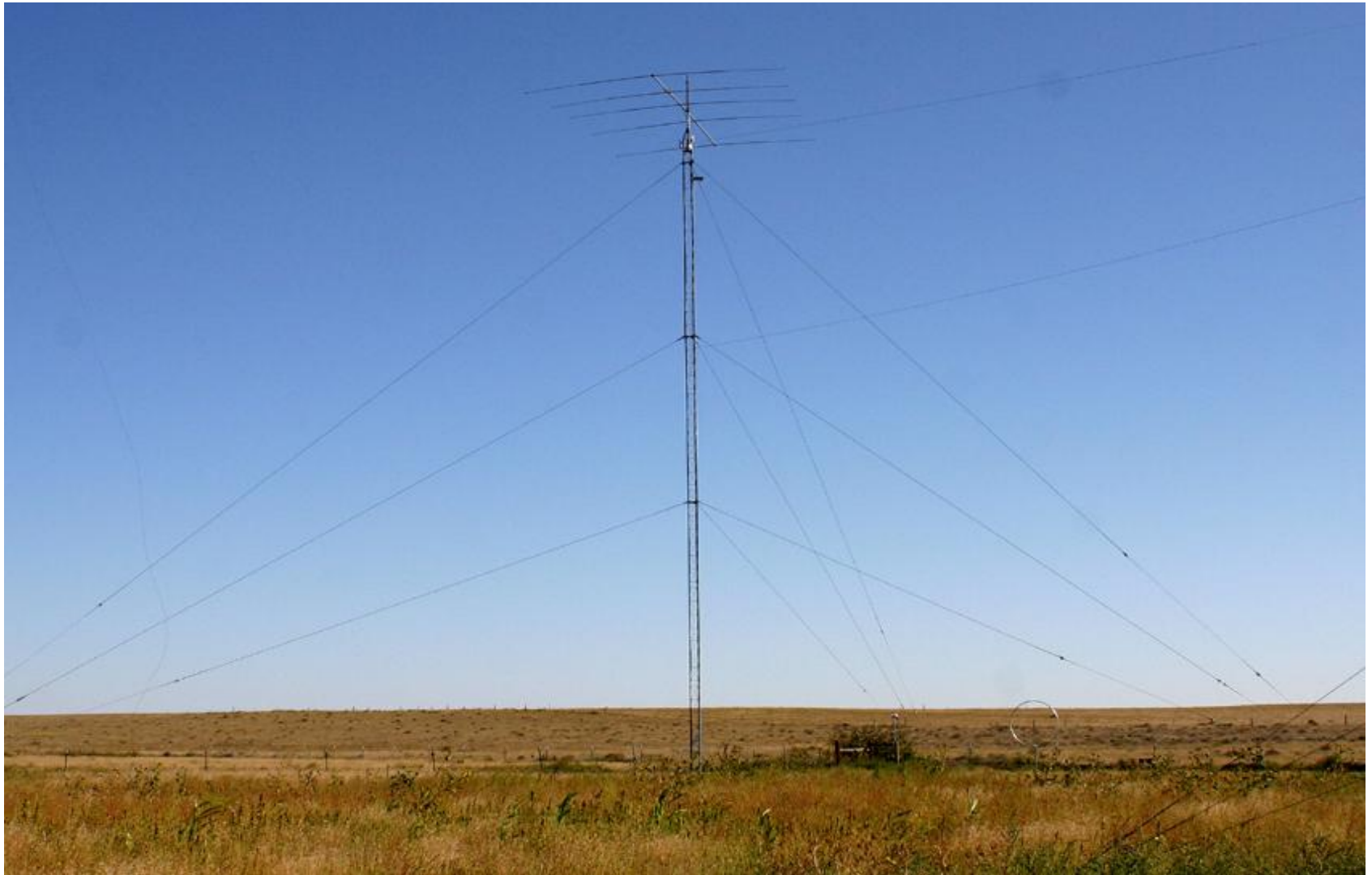
10 meter antenna = Hy-gain 105CA @ 65 feet

15 meter antenna = Hy-gain 155CA @ 70 feet

Preamp	15m	10m
None	4 dB	3 dB*
Preamp 1	11.5 dB	9.5 dB
Preamp 2	13.0 dB	11.0 dB

\* @ 3 dB, receiver noise = band noise = not OK

# LJ-155CA Yagi in band noise example



# LJ-105CA in band noise example



# How does band noise vary by band?

If we take the ITU rural data as a starting point, what is typical?

160 meters:	-87 dBm *
80 meters:	-93 dBm *
40 meters:	-101 dBm *
20 meters:	-109 dBm #
15 meters:	-114 dBm #
10 meters:	-119 dBm #

That's a 30+ dB difference in band noise

\* = nighttime # = daytime

# Measured band noise at NC0B

160 meters 8:00 AM MST:	-105 dBm	January 2014
160 meters 4:00 PM MST:	-101 dBm	160 meter CQ
160 meters 6:30 PM MST:	-91 dBm	CW Contest

ITU rural nominal value: -87 dBm

Beam Heading, October 2013	15 meters	10 meters
0 degrees beam heading:	-124 dBm	-129 dBm
30 degrees:	-124 dBm	-123 dBm
60 degrees:	-118 dBm	-120 dBm
90 degrees:	-114 dBm	-120 dBm
120 degrees:	-113 dBm	-122 dBm
150 degrees:	-114 dBm	-122 dBm

ITU rural nominal value: -114 dBm -119 dBm

# ITU / ARRL Data is generally correct

- Those numbers = starting point for a rural QTH
- On a give day there can be  $\pm 10$  dB differences
- In 2014 ARRL 10 Meter SSB my noise floor was 10 dB lower than the rural ITU value, pointed West between 3 and 5 PM local time while working ZL, VK & JAs.
- (5 element monoband Yagi @ 65 feet)
- Urban QTH with RFI noise, all bets are off
- How's your neighbor's Plasma TV ?

# A note about the ITU data

- The ITU data assumes an omni-directional antenna.
- Your Yagi or directional low-band antenna (4-square) can significantly improve on your band noise in some directions.

# Sample receiver noise floor values

- | ● Rig     | Preamplifier OFF | Preamplifier ON |
|-----------|------------------|-----------------|
| ● IC-7700 | -127 dBm         | -140 dBm        |
| ● TS-990  | -127 dBm         | -138 dBm        |
| ● K3S     | -135 dBm         | -138 dBm        |
| ● FTdx5K  | -123 dBm         | -141 dBm        |
- ITU **nighttime** band noise on 40 meters is around -100 dBm, while typical receiver noise floor is around -130 dBm, or about 30 dB lower with the preamp OFF !



Numbers with Preamp-1 ON

## Noise Floor Quite Consistent in Top 10

- Flex 6700 -135 dBm
- Elecraft K3s -138 dBm
- Elecraft KX3 -138 dBm
- FTdx-5000D -135 dBm
- Flex 5000 -135 dBm
- Orion II -133 dBm
- Orion I -135 dBm
- T-T Eagle -132 dBm
- Flex 3000 -139 dBm
- TS-590SG -135 dBm
- Drake R-4C -138 dBm (For comparison)

# What does all this imply?

- For most radios: Up-conversion / down-conversion
- On the lower bands **at night**, attenuation is often appropriate.
- There is **no point** in band noise reading upscale on your S meter.
- A preamp is **usually NOT** needed on 20 meters.
- A preamp would **never** be needed **at night** on 40 meters and below, assuming the transmit antenna is used on receive.

# Reducing Contest Fatigue

## Contests: Jan, Feb & March 2016

### SSB Contest 160m

Using a TS-990S during the day attenuator = 6 dB  
During the nighttime, attenuator = 12 dB to 18 dB !

Set the AGC threshold about 6 dB above band noise.

### CW Contest 160m

Using Apache ANAN-200D, I set the AGC threshold about 6 dB above band noise. Time of day dependent

The same applied to Flex 6700 December 2014  
ARRL 160 meter CW contest

March 2016 ARRL SSB DX Contest using TS-990S  
10m – Preamp & 6 dB pad or occasionally 12 dB pad !

# Preamp on 160 or 80 meters OK?

- Many rigs today have an RX input for a receive only antenna.
- A Beverage or a small loop would usually have a head amp, at least for impedance matching. (Maybe just a transformer)
- A preamp for a **receive-only** antenna may well be appropriate on the low bands.
- Use common sense for special cases.

# Where do these examples not apply?

- Direct-sampling radios are very different.
- Examples of direct-sampling radios:
  - Perseus receiver (CW Skimmer)
  - Apache ANAN-100D & ANAN-200D
  - Flex 6300, 6500 or 6700
- The overload point of the Flex is much higher, and the noise floor is also much higher, with the preamp OFF.
- Apache is different in that it has a preamp in the circuit all the time, plus a variable attenuator.

# Some comparison data

Rig	Noise Floor Preamp Off / On	Noise Figure Preamp Off / On
Icom Pro III	-132 dBm / -140 dBm	12 dB / 4 dB
Elecraft K3	-130 dBm / -138 dBm	14 dB / 6 dB
Kenwood 990S	-127 dBm / -138 dBm	17 dB / 6 dB
Flex 6700	-118 dBm / -135 dBm	26 dB / 9 dB

For classic radios with normal mixers (up-conversion or down-conversion) attenuation is often helpful in potential overload conditions (contests / DX pile-ups) on 40 meters and below. Possibly even on 20 meters.

For direct-sampling radios, particularly the Flex 6000 series, attenuation would rarely be needed, but a preamp will be very useful on 15 meters and up.

## Times of day can break the general rules

- In a rural environment, daytime band noise on 80 and 40 meters can be quite low.
  - Noon at my QTH 40 meters -115 dBm
  - 8:30 AM my QTH 80 meters -120 dBm
  - Flex 6300 has no preamp below 30 meters\*
  - There are times when you need a -128 dBm noise floor on 40 and 80 meters.
- \* Flex says this will be corrected, likely 2<sup>nd</sup> quarter 2016. Cost to retrofit unknown.

# How do we chose a new transceiver?

- 160 – 40m receivers are too sensitive at night.
- Make the most of the radio's dynamic range by properly using the attenuator and using the preamp only when necessary on the high bands.
- Published dynamic range can be misleading, depending on how it is measured. This could be a complete presentation on its own.
- Look at RMDR, as this typically dominates.
- (RMDR\* = Reciprocal Mixing Dynamic Range)
- [\*QST April 2012 for sidebar – Bob Allison]
- **It is a numbers game today!**
- Evaluation in pile-up conditions is critical.
- **A lab setup can never approximate CQ WW !**





# Sherwood Engineering

Videos from past CTU presentations

CTU 2015 (select from all presenters)

<https://www.youtube.com/playlist?list=PLRSwUN4qr1Lq50amRtsZm-y2nKPHHRz0v>

CTU 2013 & 2014 (Select desired year)

[http://www.contestuniversity.com/main/page\\_videos.html](http://www.contestuniversity.com/main/page_videos.html)

CTU 2011

<http://www.pvrc.org/webinar/radioperformance.wmv>